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Docket No.: 100086.409
Date: March 15, 1999

In re application of
Application No.:
Filed:
For:

Orest W. Blaschuk and Barbara J. Gour
09/185,908
November 3, 1998
COMPOUNDS AND METHODS FOR MODULATING
CLAUDIN-MEDIATED FUNCTIONS

BOX NON-FEE AMENDMENT
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON DC 20231

Sir:

Transmitted herewith is a preliminary amendment in the above-identified application.

☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under CFR 1.9 and 1.27 is enclosed.

☐ A Petition for an Extension of Time for month is enclosed.

☐ A General Authorization Under 37 C.F.R. § 1.136(a)(3) is enclosed.

☒ No additional claim fee is required.

☐ The fee has been calculated as shown.

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST PREV. PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	OR	RATE	ADDITIONAL FEE
TOTAL	* 185	MINUS	** 185	0	x 9	\$		x 18	\$
INDEP.	* 20	MINUS	*** 20	0	x 39	\$		x 78	\$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE CLAIMS					+ 130	\$	OR	+ 260	\$
EXTENSION OF TIME FEE						\$			\$
TOTAL ADDITIONAL FEE						\$	TOTAL		\$

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☐ Please charge my Deposit Account No. 19-1090 in the amount of \$_. A duplicate copy of this sheet is enclosed.
☐ A check in the amount of \$_ is attached.

☒ The Assistant Commissioner is hereby authorized to charge payment of the following additional fees associated with this communication or credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this sheet is enclosed.

☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,
Orest W. Blaschuk and Barbara J. Gour
SEED AND BERRY LLP

David J. Maki
David J. Maki
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PLUNKETT
7/9/99

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

March 15, 1999
Date

Angela S. Long
Angela S. Long

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Orest W. Blaschuk and Barbara J. Gour
Application No. : 09/185,908
Filed : November 3, 1998
For : COMPOUNDS AND METHODS FOR MODULATING
CLAUDIN-MEDIATED FUNCTIONS

Art Unit : 1643
Docket No. : 100086.409
Date : March 15, 1999

Box Non-Fee Amendment
Assistant Commissioner for Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Sir:

Please amend the above-identified application as follows:

In the Claims:

20. (Amended) A modulating agent according to claim 8, wherein Y_1 and Y_2 are each tryptophan or a derivative thereof, such that the covalent bond generates a $[\delta_1\delta_1\text{-dityptophan}] \delta_1\delta_1\text{-dityptophan}$, or a derivative thereof.